

# CSC236 fall 2016

## Theory of computation

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/

BA4270 (behind elevators)

<http://www.cdf.toronto.edu/~csc236h/fall/>

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*sometimes works...*

*check often*

USC Introduction to the Theory of Computation, Section 1.2

*very solid background ↗*



# Outline

Introduction

chapter 1, simple induction

Notes



# how to reason about computing

- ▶ it's messy...
  - pencil + paper
  - try out guesses, check various values
  - make many drafts.

- ▶ it's art...

- aim for extreme clarity, readability, humor, pathos, ...
- work on an editor/word processor to allow polishing





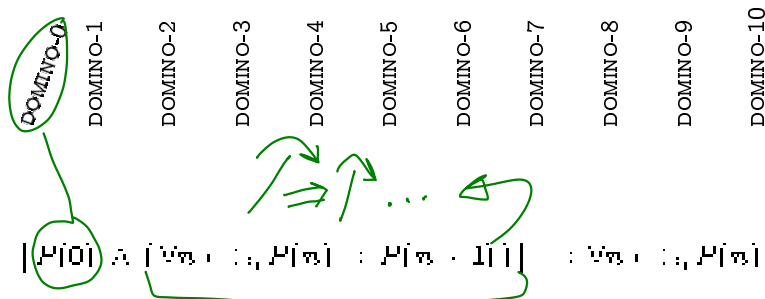
you should already know...

- ▶ **Chapter 0** material from Introduction to Theory of Computation     *Sets, functions, etc.*
- ▶ **CSC165 material**, especially the mathematical prerequisites (Chapter 1.5), proof techniques (Chapter 3), and the introduction to big-Oh (Chapter 4).
  - *If you got less than C+ in CSC165, you may need to do extra work*
- ▶ But you can relax the structure (more on this later)
  - *see my induction outline*
- ▶ recursion, efficiency material from CSC148
  - *big-oh*

you'll know by December...

- ▶ understand, and use, several flavours of induction
  - *Simple*
  - *complete*
  - *structural*
  - *well-ordering*
- ▶ complexity and correctness of programs      both recursive and iterative
- ▶ formal languages, regular languages, regular expressions  
*FSA's + regexes over binary strings*

## domino fates foretold



If the initial case works,  
and each case that works implies its successor works,  
then all cases work

*Prose can be just as precise  
as symbols!*



## simple induction outline

You will also see:  
State claim —  $P(n)$   
base case first  
 $H(n) \rightarrow P(n)$   
 $C(n) \rightarrow P(n+1)$

**inductive step:** state inductive hypothesis  $H[n]$

**derive conclusion  $C[n]$ :** show that  $C[n]$  follows  
from  $H[n]$ , indicating where you use  
 $H[n]$  and why that is valid

**verify base case[s]:** verify that the claim is true for any cases  
not covered in the inductive step

this outline works for simple, complete, and (small mod)  
Structural induction

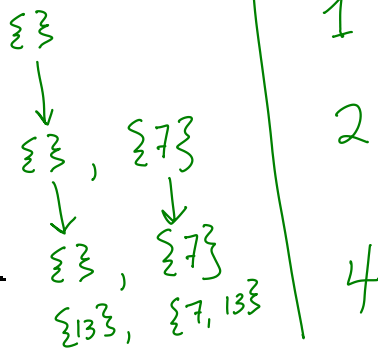
in simple induction  $H[n]$  is the claim you intend to prove  
about  $n$ , and  $C[n]$  is the same claim about  $n + 1$  "simple"  
because the reasoning moves from  $n$  to  $n + 1$ .

## how many subsets of a set?

Subsets of  $\{\}$ :

- list the subsets of  $\{7\}$

- now list the subsets of  $\{7, 13\}$

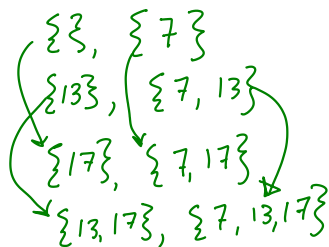


- is there a connection between the lists that helps you count them?

Every set with  $n$  elements has exactly  $2^n$  subsets...

scratch work: check a few more sets...

$\{7, 13, 17\}$  :



8



Every set with  $n$  elements has exactly  $2^n$  subsets...

use the simple induction online

Inductive step Let  $n$  be an arbitrary natural number.  
Assume  $H(n)$ : Every set with  $n$  elements has  $2^n$  subsets.

Show  $H(n)$  implies  $C(n)$ : Every set with  $n+1$  elements has  $2^{n+1}$  subsets.

Let  $S$  be an arbitrary set with  $n+1$  elements.  
Let  $x \in S$  # since  $n+1 > 0$ ,  $S$  is not empty.

Partition the subsets of  $S$  into  $\mathcal{P}^+$ , those that  $x$  is an element of, and  $\mathcal{P}^-$ , those that  $x$  is not an element of.

Notice that  $\mathcal{P}^-$  is the set of subsets of  $S - \{x\}$ , a set with  $n$  elements.

$|\mathcal{P}^-| = 2^n$  # according to  $H(n)$



Every set with  $n$  elements has exactly  $2^n$  subsets...

use the simple induction online

... continued

Notice also that there is a 1-1 correspondence between the subsets in  $\mathcal{P}^-$  and those in  $\mathcal{P}^+$  — subsets are matched by adding/removing  $x$ .

$$|\mathcal{P}^+| = |\mathcal{P}^-| = 2^n$$

Since these are all the subsets of  $S$ ,  $S$  has  $2^n + 2^n = 2 \times 2^n = 2^{n+1}$  subsets.

$C(n)$  follows from  $H(n)$

Verify base case: A set with 0 elements is the empty set, and it has  $1 = 2^0$  subsets, namely  $\{\}$



$$3^n \geq n^3?$$

scratch work: check for a few values of  $n$

$$\begin{aligned}3^0 &= 1 \geq 0 = 0^3 \\3^1 &= 3 \geq 1 = 1^3 \\3^2 &= 9 \geq 8 = 2^3 \\3^3 &= 27 \geq 27 = 3^3 \\3^4 &= 81 \geq 64 = 4^3\end{aligned}$$

$$\begin{aligned}3^{-1} &= \frac{1}{3} \geq -1 = -1^3 \\3^{-2} &= \frac{1}{9} \geq -8 = -2^3 \\&\vdots\end{aligned}$$

$$3^{2.5} \not\geq 2.5^3 \quad !$$

prove the result for  
natural numbers.



$$3^n \geq n^3$$

use the simple induction online

Proof (simple induction)

induction step Assume  $n \in \mathbb{N}$ . <sup>and  $n \geq 3$</sup>  Assume  $H(n): 3^n \geq n^3$

Show that  $H(n) \Rightarrow C(n): 3^{n+1} \geq (n+1)^3$

$$3^{n+1} = 3 \times 3^n \geq 3 \times n^3 \# \text{ by } H(n)$$

$$= n^3 + n^3 + n^3$$

$$> n^3 + 3n^2 + 9n \# \underline{\underline{n \geq 3}}$$

$$= n^3 + 3n^2 + 3n + 6n$$

$$\geq n^3 + 3n^2 + 3n + 1 \# n \geq 3 > \frac{1}{6}$$

$$= (n+1)^3 \# \text{ binomial theorem.}$$

$C(n)$  follows from  $H(n)$



$$3^n \geq n^3$$

use the simple induction online

verify base case  $3^3 = 27 \geq 27 = 3^3$ , so the claim holds for natural number 3.

also  $3^0 = 1 \geq 0 = 0^3$  and  $3^1 = 3 \geq 1 = 1^3$  and  $3^2 = 9 \geq 8 = 2^3$ ,  
so the claim holds for natural numbers 0, 1, 2.



For every  $n \in \mathbb{N}$ ,  $12^n - 1$  is a multiple of 11

scratch work: substitute a few values for  $n$

$$12^0 - 1 = 1 - 1 = 11 \times 0$$

$$12^1 - 1 = 12 - 1 = 11 \times 1$$

$$12^2 - 1 = 144 - 1 = 11 \times 13$$



For every  $n \in \mathbb{N}$ ,  $12^n - 1$  is a multiple of 11

use the simple induction online

Proof by simple induction

Inductive step: let  $n$  be an arbitrary natural number.

Assume  $H(n)$ :  $12^n - 1$  is a multiple of 11.

Show  $H(n) \Rightarrow C(n)$ :  $12^{n+1} - 1$  is a multiple of 11

Let  $k \in \mathbb{Z}$  such that  $11k = 12^n - 1$

# by  $H(n)$  such a  $k$  exists

$$\begin{aligned} 12^{n+1} - 1 &= 12(12^n - 1) + 11 = 12(11k) + 11 \\ &= 11(12k + 1) \end{aligned}$$

$12k + 1 \in \mathbb{Z}$  #  $12, 1, k \in \mathbb{Z} + \mathbb{Z}$  closed under  
#  $+$ ,  $\times$

$12^{n+1} - 1$  is a multiple of 11.

$C(n)$  follows from  $H(n)$



For every  $n \in \mathbb{N}$ ,  $12^n - 1$  is a multiple of 11

use the simple induction outline

Verify base case  $12^0 - 1 = 1 - 1 = 0 = 11 \times 0$ , so  
claim holds for natural number 0.



The units digit of  $3^n$  is either 1, 3, 7, or 9

scratch work: substitute a few values for  $n$

*exercise to reader.*



The units digit of  $3^n$  is either 1, 3, 7, or 9

use the simple induction online

The units digit of  $3^n$  is either 1, 3, 7, or 9

use the simple induction online

What about: the units digit of  $3^n$  is either 1, 2, 3, 7, or 9

use the simple induction outline

is the claim still true? What happens if you add this other case to the inductive step?

# Notes