

Learning Objectives

By the end of this worksheet, you will:

- Analyse the worst-case running time of *binary search*.

- Analysing binary search.** As you've discussed in CSC108 and CSC148, one of the most fundamental advantages of sorted data is that it makes it easier to search this data for a particular item. Rather than searching sequentially (item by item) through the entire list of data, we can employ the *binary search algorithm*:

```

1 def binary_search(L, x):
2     """Return whether x is an element of L.
3     Precondition: L is a sorted list of numbers.
4     """
5     i = 0                # i is the lower bound of the search range (inclusive)
6     j = len(L)           # j is the upper bound of the search range (exclusive)
7     while i < j:
8         mid = (i + j) // 2 # mid is the midpoint of the search range
9         if L[mid] == x:
10            return True
11        elif L[mid] < x:
12            i = mid + 1     # New search range is (mid+1) to j
13        else:
14            j = mid - 1     # New search range is i to (mid-1)
15
16        # If this point is reached, then x is not in L.
17    return False

```

The intuition behind analysing the running time of binary search is to say that at each loop iteration, the size of the range being searched decreases by a factor of 2. At the same time, our more formal techniques of analysis seem to have trouble. We don't have a predictable formula for the values of variables i and j after k iterations, since how the search range changes depends on the contents of L and the item being searched for.

We can reconcile the intuition with our more formal approach by explicitly introducing and analysing the behaviour of a new variable. Specifically: let $r = j - i$ be a variable representing the size of the search range.

(a) Let n represent the length of the input list L . What is the initial value of r , in terms of n ?

(b) For what values of r will the loop *terminate*?

- (c) Prove that at each loop iteration, if the item is not found, then the value of r decreases by at least a factor of 2. More precisely, let r_k and r_{k+1} be the values of r immediately before and after the k -th iteration, respectively, and prove that $r_{k+1} \leq \frac{1}{2}r_k$. You can use external properties of floor/ceiling in this question.

- (d) Find the exact maximum number of iterations that could occur (in terms of n), and use this to show that the worst-case running time of `binary_search` is $\mathcal{O}(\log n)$.

- (e) Prove that the worst-case running time of `binary_search` is $\Omega(\log n)$. Note that your description of the input family should talk about both the input list, L , and the item being searched for, x .

You may assume that if the loop does not return early, then it runs for $\Omega(\log n)$ iterations.¹

- (f) Finally, prove that the best-case running time of binary search is $\mathcal{O}(1)$ (independent of the size of the input list). Note that proving an upper bound on the best-case is analogous to proving a lower bound on the worst case: both require you to describe a family of inputs whose running time fulfills some property.

¹Something to think about: why did we explicitly mention this assumption? Why does it not follow from your work in part (c)?
Challenge: prove the $\Omega(\log n)$ bound.