

## CSCI48 Intro. to Computer Science

### Lecture 12: Efficiency of Recursive Algorithms, big O, Hash Table, Final Exam.

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Binary Trees 4-1

## Review

- ❖ Efficiency of **iterative** algorithms
  - In CSCI48, we mainly focus on time efficiency
    - i.e. **time complexity**
  - We calculate/estimate a function denoting the number of operations (e.g. comparisons), and we focus on the **dominant term**:
    - discard all irrelevant coefficients as well as all non-dominant terms
  - We focus on the **loops**
    - The way the **loop invariant** is changed
    - If the loops are **nested** or **sequential**
  - We also watch the **function calls**

Efficiency 4-2

## Efficiency of recursive algorithms?

Efficiency 4-3

## Example 1: BST Contains

A divide and conquer problem:

```
def bst_contains(node, value):  
    if node is None:  
        return False  
    elif value < node.data:  
        return bst_contains(node.left, value)  
    elif value > node.data:  
        return bst_contains(node.right, value)  
    else:  
        return True
```

- ❖ Denote  $T(n)$  as the number of operations for a tree with  $n$  nodes
- ❖ Assume we always have the best tree:
  - i.e. the tree is (almost) balanced
- ❖  $T(n) = T(n/2) + c$
- ❖ We will see the big O notation of this, shortly.

Efficiency 4-4

## Example 2: Quick Sort

Another divide and conquer problem:

```
Qsort (A, i, j)  
if (i < j)  
    p := partition(A)  
    Qsort (A, i, p-1)  
    Qsort (A, p+1, j)  
end
```

- ❖ Denote  $T(n)$  as the number of operations in Qsort for a list with  $n$  items
- ❖ Partition requires to traverse the whole list, i.e.  $n$  iterations
- ❖ Assume we have the best partition function: i.e.  $p$  is roughly at the middle of the list
- ❖  $T(n) = n + 2T(n/2) + c$
- ❖ We will see the big O notation of this, shortly.

Efficiency 4-5

## Example 3: Merge Sort

Another, divide and conquer problem:

```
Msort (A, i, j)  
if (i < j)  
    S1 := Msort (A, i, (i+j)/2)  
    S2 := Msort (A, (i+j)/2, j)  
    Merge(S1, S2, i, j)  
end
```

- ❖ Denote  $T(n)$  as the number of operations in Msort for a list with  $n$  items
- ❖ Merge is to merge two sorted lists in one: the result will have  $n$  items. hence, Merge requires  $n$  operations
- ❖ The list will be always halved
- ❖  $T(n) = 2T(n/2) + n + c$
- ❖ We will see the big O notation of this, shortly.

Efficiency 4-6

## big O of recurrence relations

- ❖ It's covered in CSC236
  - ❖ For instance, via the Master Theorem
  - ❖ If interested, read the following:
  - ❖ Let  $T$  be an increasing function that satisfies the recurrence relation  $T(n) = a T(n/b) + cn^d$  whenever  $n = b^k$ , where  $k$  is a positive integer greater than 1, and  $c$  and  $d$  are real numbers with  $c$  positive and  $d$  nonnegative. Then

$$T(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d, \\ O(n^d \log n) & \text{if } a = b^d, \\ O(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

Efficiency 4-7

## big O of recurrence relations

- ❖ For now, we are going to accept the following common ones:

Recurrence Relation	Time Complexity	Example Algorithms
$T(n) = T(n/2) + O(1)$	$T(n) \in O(\log n)$	bst_contains, Binary Search
$T(n) = T(n-1) + O(1)$	$T(n) \in O(n)$	Factorial
$T(n) = 2T(n/2) + O(n)$	$T(n) \in O(n \log n)$	Qsort, Msort
$T(n) = T(n-1) + T(n-2) + O(1)$	$T(n) \in 2^n$	Recursive Fibonacci

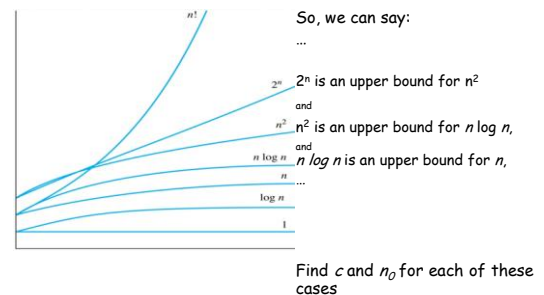
Efficiency 4-8

## More insight to big O

- ❖ When we say an algorithm (or a function)  $f(n)$  is in  $O(g(n))$ , we mean  $f(n)$  is bounded (from up) by  $g(n)$ . In other words,  $g(n)$  is an upper bound for  $f(n)$
- ❖ This means, there are positive constants  $c$  and  $n_0$  such that  $f(n) \leq c g(n)$  for all  $n > n_0$
- ❖ Intuitively, this means that  $f(n)$  grows slower than some fixed multiple of  $g(n)$  as  $n$  grows without bound.

Efficiency 4-9

## Recall



Efficiency 4-10

## big O

If a function  $\in O(n)$ , it's also  $\in O(n \log n)$  and  $\in O(n^2)$

In general,

$$O(1) \subseteq \dots \subseteq O(\log \log n) \subseteq O(\log n) \subseteq O(n \log n) \dots \subseteq O(n^2) \subseteq \dots \subseteq O(n^2 \log n) \dots \subseteq O(n^3) \subseteq \dots \subseteq O(n^4) \dots \subseteq O(2^n) \dots \subseteq O(3^n) \dots \subseteq O(n!)$$

However, when are looking for an upper bound, we are required to find the tightest one

$$F(n) = 5n^2 + 1000 \text{ is in } O(n^2)$$

Efficiency 4-11

## Recall: Python lists and our liked lists

- ❖ Python list is a contiguous data structure
  - ❖ Lookup is fast
  - ❖ Insertion and deletion is slow
- ❖ linked list is not a contiguous data structure
  - ❖ Lookup is slow
  - ❖ Insertion and deletion (when does not require lookup) is fast

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$

Efficiency 4-12

## Recall: Balanced BST

- ❖ BST can be implemented by linked lists
- ❖ Yet, it has a property that makes it more efficient when it comes to lookup

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$
BST	$O(\log n)$	$O(\log n)$	$O(\log n)$

- ❖ Yet, this comes at a price for insertion and deletion

❖ Can we do better?

Efficiency 4-13

## Can we do better?

- ❖ Assume a magical machine:

- ❖ Input: a **key**
- ❖ Output: its **index** value in a list

- ❖ Well, this is a mapping machine:

- ❖ A pair of (**key**, **index**)
- ❖ The **key** is the value that we want to lookup or insert or delete, and the **index** is its location in the list

- ❖ And, it's called a **hash function**

Hash table 4-14

## Hash Function

- ❖ A hash function first *converts* a key to an integer value,
- ❖ Then, *compresses* that value into an index.
- ❖ Just as a simple example:
- ❖ The *conversion* can be done by applying some functions to the binary values of the characters of the key
- ❖ And the compression can be done by some modular operations.

Hash table 4-15

## Example: (insertion)

- ❖ A class roster of up to 10 students:
  - ❖ We want to enroll "ANA"
  - ❖ Hash function:
    - ❖ *Conversion* component, for instance, returns 208 which is  $65+78+65$
    - ❖ *Compression* component, for instance, returns 8 which is  $208 \bmod 10$
  - ❖ So, we insert "ANA" at index 8 of the roster.
- ❖ Similarly, if we want to enroll "ADAM",
  - ❖ we insert it at index 5 of the roster (let's call it hash table).

Hash table 4-16

## Example: (lookup)

- ❖ We want to lookup "ANA"
- ❖ Hash function:
  - ❖ *Conversion* component, for instance, returns 208 which is  $65+78+65$
  - ❖ *Compression* component, for instance, returns 8 which is  $208 \bmod 10$
- ❖ So, we check index 8 of the roster.
- ❖ Similarly, if we want to lookup "ADAM",
  - ❖ we check index 5 of the roster (hash table).

Hash table 4-17

## Recall: Balanced BST

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$
BST	$O(\log n)$	$O(\log n)$	$O(\log n)$
Hash Table	$O(1)^*$	$O(1)^*$	$O(1)^*$

\* if there is no collision

Efficiency 4-18

## Collision

- ❖ How collision can happen?
- ❖ What can we do when there is a collision?
  - ❖ Chaining
  - ❖ Probing
  - ❖ Double hashing

Hash table 4-19