

# CSC I 48 *Intro. to Computer Science*

## **Lecture 12:** *Efficiency of Recursive Algorithms, big O, Hash Table, Final Exam.*

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# Review

- ❖ Efficiency of ***iterative*** algorithms
  - In CSCI48, we mainly focus on time efficiency
    - i.e. ***time complexity***
  - We calculate/estimate a function denoting the number of operations (e.g. comparisons), and we focus on the ***dominant term***:
    - discard all irrelevant coefficients as well as all non-dominant terms
  - We focus on the ***loops***
    - The way the ***loop invariant*** is changed
    - If the loops are ***nested*** or ***sequential***
  - We also watch the ***function calls***

# Efficiency of recursive algorithms?

# Example 1: BST Contains

A divide and conquer problem:

```
def bst_contains(node, value):  
    if node is None:  
        return False  
    elif value < node.data:  
        return bst_contains(node.left, value)  
    elif value > node.data:  
        return bst_contains(node.right, value)  
    else:  
        return True
```

- ❖ Denote  $T(n)$  as the number of operations for a tree with  $n$  nodes
- ❖ Assume we always have the best tree:
  - ❖ i.e the tree is (almost) balanced
- ❖  $T(n) = T(n/2) + \epsilon$
- ❖ We will see the big  $O$  notation of this, shortly.

# Example 2: Quick Sort

Another divide and conquer problem:

```
Qsort (A, i, j)
  if (i < j)
    p := partition(A)
    Qsort (A, i, p-1)
    Qsort (A, p+1, j)
  end
```

- ❖ Denote  $T(n)$  as the number of operations in Qsort for a list with  $n$  items
- ❖ Partition requires to traverse the whole list, i.e.  $n$  iterations
- ❖ Assume we have the best partition function: i.e.  $p$  is roughly at the middle of the list
- ❖  $T(n) = n + 2T(n/2) + \epsilon$
- ❖ We will see the big  $O$  notation of this, shortly.

# Example 3: Merge Sort

Another, divide and conquer problem:

```
Msort (A, i, j)
  if (i < j)
    S1 := Msort (A, i, (i+j)/2)
    S2 := Msort (A, (i+j)/2, j)
    Merge (S1, S2, i, j)
  end
```

- ❖ Denote  $T(n)$  as the number of operations in Msort for a list with  $n$  items
- ❖ Merge is to merge two sorted lists in one: the result will have  $n$  items. hence, Merge requires  $n$  operations
- ❖ The list will be always halved
- ❖  $T(n) = 2T(n/2) + n + \epsilon$
- ❖ We will see the big O notation of this, shortly.

# big O of recurrence relations

## ❖ It's covered in CSC236

- ❖ For instance, via the Master Theorem
- ❖ If interested, read the following:
- ❖ Let  $T$  be an increasing function that satisfies the recurrence relation

$$T(n) = a T(n/b) + cn^d$$

whenever  $n = b^k$ , where  $k$  is a positive integer greater than 1, and  $c$  and  $d$  are real numbers with  $c$  positive and  $d$  nonnegative. Then

$$T(n) \text{ is } \begin{cases} O(n^d) & \text{if } a < b^d, \\ O(n^{d \log_b a}) & \text{if } a = b^d, \\ O(n^{\log_b a}) & \text{if } a > b^d. \end{cases}$$

# big O of recurrence relations

❖ For now, we are going to accept the following common ones:

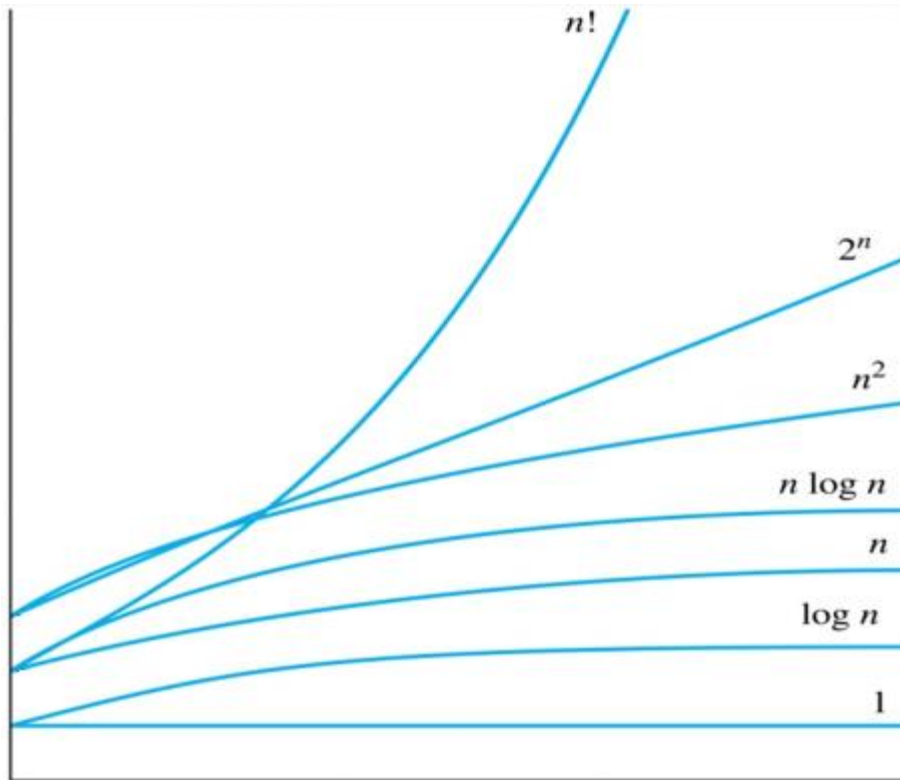
<i><b>Recurrence Relation</b></i>	<i><b>Time Complexity</b></i>	<i><b>Example Algorithms</b></i>
$T(n)=T(n/2) +O(1)$	$T(n) \in O(\log n)$	bst_contains, Binary Search
$T(n) = T(n - 1) + O(1)$	$T(n) \in O(n)$	Factorial
$T(n) = 2T(n/2) + O(n)$	$T(n) \in O(n \log n)$	Qsort, Msort
$T(n) = T(n - 1)+T(n - 2)+O(1)$	$T(n) \in 2^n$	Recursive Fibonacci



# More insight to big O

- ❖ When we say an algorithm (or a function)  $f(n)$  is in  $O(g(n))$ , we mean  $f(n)$  is bounded (from up) by  $g(n)$ . In other words,  $g(n)$  is an upper bound for  $f(n)$
- ❖ This means, there are positive constants  $c$  and  $n_0$  such that  $f(n) \leq c g(n)$  for all  $n > n_0$
- ❖ Intuitively, this means that  $f(n)$  grows slower than some fixed multiple of  $g(n)$  as  $n$  grows without bound.

# Recall



So, we can say:

...

$2^n$  is an upper bound for  $n^2$

and

$n^2$  is an upper bound for  $n \log n$ ,

and

$n \log n$  is an upper bound for  $n$ ,

...

Find  $c$  and  $n_0$  for each of these cases

# big O

If a function  $\in O(n)$ , it's also  $\in O(n \log n)$  and  $\in O(n^2)$

In general,

$O(1) \subseteq \dots \subseteq O(\log \log n) \subseteq O(\log n) \subseteq O(n \log n) \dots \subseteq O(n^2) \subseteq \dots \subseteq$   
 $\subseteq O(n^2 \log n) \dots \subseteq O(n^3) \subseteq \dots \subseteq O(n^4) \dots \subseteq O(2^n) \dots \subseteq O(3^n) \dots \subseteq O(n!)$

However, when are looking for an upper bound, we are **required to find the tightest one**

$F(n) = 5n^2 + 1000$  is in  $O(n^2)$

# Recall: Python lists and our liked lists

- ❖ Python list is a contiguous data structure
  - ❖ *Lookup* is fast
  - ❖ *Insertion* and *deletion* is slow
- ❖ linked list is not a contiguous data structure
  - ❖ *Lookup* is slow
  - ❖ *Insertion* and *deletion* (when does not require lookup) is fast

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$

# Recall: Balanced BST

- ❖ BST can be implemented by linked lists
- ❖ Yet, it has a property that makes it more efficient when it comes to lookup

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$
BST	$O(\log n)$	$O(\log n)$	$O(\log n)$

- ❖ Yet, this comes at a price for insertion and deletion
- ❖ Can we do better?

# Can we do better?

- ❖ Assume a magical machine:
  - ❖ Input: a **key**
  - ❖ Output: its **index** value in a list
- ❖ Well, this is a mapping machine:
  - ❖ A pair of (**key**, **index**)
  - ❖ The **key** is the value that we want to lookup or insert or delete, and the **index** is its location in the list
- ❖ And, it's called a **hash function**

# Hash Function

- ❖ A hash function first *converts* a key to an integer value,
- ❖ Then, *compresses* that value into an index.
- ❖ Just as a simple example:
- ❖ The *conversion* can be done by applying some functions to the binary values of the characters of the key
- ❖ And the compression can be done by some modular operations.

# Example: (insertion)

- ❖ A class roster of up to 10 students:
  - ❖ We want to enroll “ANA”
  - ❖ Hash function:
    - ❖ *Conversion* component, for instance, returns 208 which is  $65+78+65$
    - ❖ *Compression* component, for instance, returns 8 which is  $208 \bmod 10$
  - ❖ So, we insert “ANA” at index 8 of the roster.
- ❖ Similarly, if we want to enroll “ADAM”,
  - ❖ we insert it at index 5 of the roster (let’s call it hash table).



# Example: (lookup)

- ❖ We want to lookup “ANA”
- ❖ Hash function:
  - ❖ *Conversion* component, for instance, returns 208 which is  $65+78+65$
  - ❖ *Compression* component, for instance, returns 8 which is  $208 \bmod 10$
- ❖ So, we check index 8 of the roster.
  
- ❖ Similarly, if we want to lookup “ADAM”,
  - ❖ we check index 5 of the roster (hash table).

# Recall: Balanced BST

	lookup	insert	delete
Lists	$O(1)$	$O(n)$	$O(n)$
Linked Lists	$O(n)$	$O(1)$	$O(1)$
BST	$O(\log n)$	$O(\log n)$	$O(\log n)$
Hash Table	$O(1)^*$	$O(1)^*$	$O(1)^*$

\* if there is no collision

# Collision

- ❖ How collision can happen?
- ❖ What can we do when there is a collision?
  - ❖ Chaining
  - ❖ Probing
  - ❖ Double hashing