

**CSC384**  
**Constraint Satisfaction Problems**  
**Part 1**

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CSP slides are drawn from or inspired by a multitude of sources including :

Alan Mackworth  
Faheim Bacchus  
Sheila McIlraith  
Andrew Moore  
Hojjat Ghaderi  
Craig Boutillier

# Constraint Satisfaction Problems (CSPs)

- Chapter 6
  - 6.1: Formalism
  - 6.2: Constraint Propagation
  - 6.3: Backtracking Search for CSP
  - 6.4 is about local search which is a very useful idea but we won't cover it in class.

Also see Poole and Mackworth chapter 4:  
<https://artint.info/2e/html/ArtInt2e.Ch4.html>

# Constraint Satisfaction Problems (CSPs) – Introduction

- **Uninformed search problems**
  - use **problem-specific** state representations and heuristics;
  - are generally concerned about determining **paths** from the current state to goal states;
  - view states as black boxes with **no internal structures**.
- **Constraint Satisfaction Problems (CSPs)**
  - care less about paths and more about final (goal) **configurations**;
  - take advantage of a **general state representation**.
  - the uniform state representation allows design of **more efficient algorithms**.
- Techniques for solving CSPs have many practical applications in industry.

# Constraint Satisfaction Problems (CSPs) – Intuition

- Represent **states** as **vectors of feature values**.<sup>1</sup>

- A set of  $k$  variables (known as **features**).

- Each **variable** has a domain of different values.

- A state is specified by an **assignment of values** to **all** variables.

- A partial state is specified by an assignment of a value to **some** of the variables.

- A **goal** is specified as **conditions** on the vector of feature values.

- Solving a CSP: find a set of values for the features (**variables**) so that the values **satisfy** the specified conditions (**constraints**).

$$\begin{array}{l} *|| \\ *|| \\ CSC84 = ba \\ CSC269 = ? \end{array}$$

$$\begin{array}{l} *|| \\ *|| \\ CSC84 = ba \times \\ CSC269 = sm \times \end{array}$$

$$e.g. (CSC)84, CSC269$$

$$e.g. (ba, sm, fb)$$

<sup>1</sup> Feature vectors provide a general state representation that is useful in many other areas of AI, particularly Machine Learning, Reasoning under Uncertainty, and Computer Vision.

# Example: Sudoku

\* 8:13 come back \*

|          |   |   |   |   |   |   |   |   |
|----------|---|---|---|---|---|---|---|---|
| •        | 2 | • | • | • |   |   |   |   |
|          |   |   | 6 |   |   |   |   | 3 |
|          | 7 | 4 |   | 8 |   |   |   |   |
| <b>4</b> |   |   |   |   | 3 |   |   | 2 |
|          | 8 |   |   | 4 |   |   |   | 1 |
| 6        |   |   | 5 |   | 1 |   |   |   |
|          |   |   |   | 1 |   | 7 | 8 |   |
| 5        |   |   |   |   | 9 |   |   |   |
|          |   |   |   |   |   |   |   | 4 |

|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 6 | 4 | 3 | 7 | 9 | 5 | 8 |
| 8 | 9 | 5 | 6 | 2 | 1 | 4 | 7 | 3 |
| 3 | 7 | 4 | 9 | 8 | 5 | 1 | 2 | 6 |
| 4 | 5 | 7 | 1 | 9 | 3 | 8 | 6 | 2 |
| 9 | 8 | 3 | 2 | 4 | 6 | 5 | 1 | 7 |
| 6 | 1 | 2 | 5 | 7 | 8 | 3 | 9 | 4 |
| 2 | 6 | 9 | 3 | 1 | 4 | 7 | 8 | 5 |
| 5 | 4 | 8 | 7 | 6 | 9 | 2 | 3 | 1 |
| 7 | 3 | 1 | 8 | 5 | 2 | 6 | 4 | 9 |

# Example: Sudoku

- Each variable represent a cell.

$C_{11}$   $C_{12}$   $C_{13}$

- Domain: a single value for cells already filled in; the set  $\{1, \dots, 9\}$  for empty cells.

- State: any completed board given by specifying the value in each cell.

- Partial State: some incomplete filling out of the board.

- Constraints: The variables that form

- a column must be distinct; ✘

- a row must be distinct; ✘

- a sub-square must be distinct. ✘

# Formalization of a CSP

A **CSP** consists of

- A set of **variables**  $V_1, \dots, V_n$ ;
- A (finite) **domain** of possible values  $Dom[V_i]$  for each variable  $V_i$ ;
- A set of **constraints**  $C_1, \dots, C_m$ .

- Each variable  $V_i$  can be assigned any value from its domain:

$$\underline{V_i = d} \quad \text{where} \quad \underline{d \in Dom[V_i]}$$

- Each constraint  $C$ 
  - Has a set of variables it operates over, called its **scope**.  
**Example:** The scope of  $C(V_1, V_2, V_4)$  is  $\{V_1, V_2, V_4\}$
  - Given an assignment to variables the  $C$  returns
    - || **True** if the assignment **satisfies** the constraint;
    - || **False** if the assignment **falsifies** the constraint.



# Formalization of a CSP

- **Solution** to a CSP: An **assignment of a value** to all of the variables such that **every** constraint is **satisfied**.
- A CSP is **unsatisfiable** if no solution exists. ✖




# Types of Constraints

- **Unary Constraints** (over one variable)


$$C(X) : X = 2;$$

$$C(Y) : Y > 5$$

- **Binary Constraints** (over two variables)


$$C(X, Y) : X + Y < 6$$

- **Higher-order constraints:** over 3 or more variables.


$$\underline{ALL - Diff(V_1, \dots, V_n)} : \underline{V_1 \neq V_2}, \underline{V_1 \neq V_3}, \dots, \underline{V_2 \neq V_1}, \dots, \underline{V_n \neq V_1}, \dots, \underline{V_n \neq V_{n-1}}.^2$$

Answer a Question! <http://etc.ch/xyX3>

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<sup>2</sup> Later, we will see that this collection of binary constraints has less pruning power than *ALL - Diff*, so *ALL - Diff* appears in many CSP problems.

# Constraint Table

- We can specify the constraints with a table

$$C(1,1,2) = \underline{\text{False}}$$

| V1 | V2 | V4 | C(V1,V2,V4) |
|----|----|----|-------------|
| 1  | 1  | 1  | False       |
| 1  | 1  | 2  | False       |
| 1  | 2  | 1  | False       |
| 1  | 2  | 2  | False       |
| 2  | 1  | 1  | True        |
| 2  | 1  | 2  | False       |
| 2  | 2  | 1  | False       |
| 2  | 2  | 2  | False       |
| 3  | 1  | 1  | False       |
| 3  | 1  | 2  | True        |
| 3  | 2  | 1  | True        |
| 3  | 2  | 2  | False       |

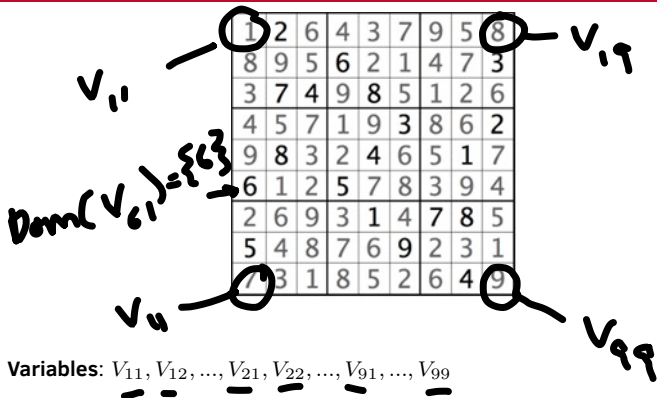
T, F

- Often we can specify the constraint more compactly with an expression.

$$V2 > 1$$

0x

## Example: Sudoku



- **Variables:**  $V_{11}, V_{12}, \dots, V_{21}, V_{22}, \dots, V_{91}, \dots, V_{99}$
- **Domains:**  $Dom[V_{ij}] = \{1, 2, \dots, 9\}$  for empty cells  
 $Dom[V_{ij}] = \{k\}$ , where  $k$  is a fixed value, for filled cells.

## Example: Sudoku

- **Constraints:**

- Row constraints:

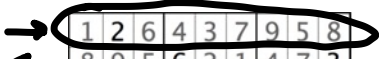
→  $All - Diff(V_{11}, V_{12}, V_{13}, \dots, V_{19})$

$All - Diff(V_{21}, V_{22}, V_{23}, \dots, V_{29})$

...

$All - Diff(V_{91}, V_{12}, V_{13}, \dots, V_{99})$

$$\left\{ \begin{array}{l} V_{11} \neq V_{12} \\ V_{12} \neq V_{13} \\ V_{13} \neq V_{14} \end{array} \right. \quad V_{14} \neq V_{15}$$



|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 6 | 4 | 3 | 7 | 9 | 5 | 8 |
| 8 | 9 | 5 | 6 | 2 | 1 | 4 | 7 | 3 |
| 3 | 7 | 4 | 9 | 8 | 5 | 1 | 2 | 6 |
| 4 | 5 | 7 | 1 | 9 | 3 | 8 | 6 | 2 |
| 9 | 8 | 3 | 2 | 4 | 6 | 5 | 1 | 7 |
| 6 | 1 | 2 | 5 | 7 | 8 | 3 | 9 | 4 |
| 2 | 6 | 9 | 3 | 1 | 4 | 7 | 8 | 5 |
| 5 | 4 | 8 | 7 | 6 | 9 | 2 | 3 | 1 |
| 7 | 3 | 1 | 8 | 5 | 2 | 6 | 4 | 9 |

# Example: Sudoku

- **Constraints:**

- Row constraints:

*All - Diff*( $V_{11}, V_{12}, \underline{V_{13}}, \dots, \underline{V_{19}}$ )

*All - Diff*( $V_{21}, V_{22}, \underline{V_{23}}, \dots, \underline{V_{29}}$ )

...

*All - Diff*( $V_{91}, V_{12}, V_{13}, \dots, V_{99}$ )

- Column Constraints:

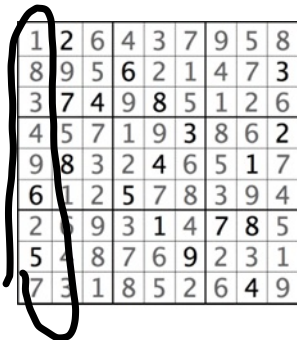
*All - Diff*( $V_{11}, \underline{V_{21}}, \underline{V_{31}}, \dots, \underline{V_{91}}$ )

*All - Diff*( $\underline{V_{12}}, \underline{V_{22}}, \underline{V_{32}}, \dots, \underline{V_{92}}$ )

...

*All - Diff*( $V_{19}, V_{29}, V_{39}, \dots, V_{99}$ )

||



|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 6 | 4 | 3 | 7 | 9 | 5 | 8 |
| 8 | 9 | 5 | 6 | 2 | 1 | 4 | 7 | 3 |
| 3 | 7 | 4 | 9 | 8 | 5 | 1 | 2 | 6 |
| 4 | 5 | 7 | 1 | 9 | 3 | 8 | 6 | 2 |
| 9 | 8 | 3 | 2 | 4 | 6 | 5 | 1 | 7 |
| 6 | 1 | 2 | 5 | 7 | 8 | 3 | 9 | 4 |
| 2 | 5 | 9 | 3 | 1 | 4 | 7 | 8 | 5 |
| 5 | 4 | 8 | 7 | 6 | 9 | 2 | 3 | 1 |
| 7 | 3 | 1 | 8 | 5 | 2 | 6 | 4 | 9 |

# Example: Sudoku

- **Constraints:**

- Row constraints:

*All - Diff*( $V_{11}, V_{12}, V_{13}, \dots, V_{19}$ )

*All - Diff*( $V_{21}, V_{22}, V_{23}, \dots, V_{29}$ )

...

*All - Diff*( $V_{91}, V_{12}, V_{13}, \dots, V_{99}$ )

- Column Constraints:

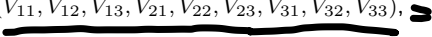
*All - Diff*( $V_{11}, V_{21}, V_{31}, \dots, V_{91}$ )

*All - Diff*( $V_{12}, V_{22}, V_{32}, \dots, V_{92}$ )

...

*All - Diff*( $V_{19}, V_{29}, V_{39}, \dots, V_{99}$ )

- Sub-Square Constraints:

*All - Diff*( $V_{11}, V_{12}, V_{13}, V_{21}, V_{22}, V_{23}, V_{31}, V_{32}, V_{33}$ ), 

...

*All - Diff*( $V_{77}, V_{78}, V_{79}, \dots, V_{97}, V_{98}, V_{99}$ )

|   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|
| 1 | 2 | 6 | 4 | 3 | 7 | 9 | 5 | 8 |
| 8 | 9 | 5 | 6 | 2 | 1 | 4 | 7 | 3 |
| 3 | 7 | 4 | 9 | 8 | 5 | 1 | 2 | 6 |
| 4 | 5 | 7 | 1 | 9 | 3 | 8 | 6 | 2 |
| 9 | 8 | 3 | 2 | 4 | 6 | 5 | 1 | 7 |
| 6 | 1 | 2 | 5 | 7 | 8 | 3 | 9 | 4 |
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| 5 | 4 | 8 | 7 | 6 | 9 | 2 | 3 | 1 |
| 7 | 3 | 1 | 8 | 5 | 2 | 6 | 4 | 9 |

# Example: N-Queens

**Problem Statement:** Place  $N$  Queens on an  $N \times N$  chess board so that no Queen can attack any other Queen.

Chess Captor - DEMO

Chess Captor - DEMO

$1 = Q_1$

$Q_2 = 15$

$D_m = \{1-69\}$

$Q_1, Q_2, \dots$

$Q_i$

|   |   |   |   |   |   |   |    |
|---|---|---|---|---|---|---|----|
| h | g | f | e | d | c | b | a  |
| 1 | 3 | 4 | 3 | 6 | 7 |   |    |
| 2 |   |   |   |   |   |   |    |
| 3 |   |   |   |   |   |   |    |
| 4 |   |   |   |   |   |   |    |
| 5 |   |   |   |   |   |   |    |
| 6 |   |   |   |   |   |   |    |
| 7 |   |   |   |   |   |   |    |
| 8 |   |   |   |   |   |   | 69 |



## Example: N-Queens

Problem formulation:

N QUEENS

• Variables:

size N

$Q_1, Q_2, Q_3, \dots, Q_2, \dots, Q_N$

• Domains:

size N.N  $\{1, 2, 3, 4, \dots, N.N\}$

$Q_1 = \underline{N.N}$  possible value assignments

$Q_2 = \underline{N.N}$  "

# of configurations is  $(N.N)^N$

Answer a Question! <http://etc.ch/xyX3>

# Example: N-Queens

Is there a better way to represent the N-queens problem? We know we cannot place two queens in a single row.

**Problem Statement:** Place  $N$  Queens on an  $N \times N$  chess board so that no Queen can attack any other Queen.

NUM variable of domain size

**Better Formulation:**

- Variables:

$Q_1$     $Q_2$     $Q_3$     $\dots$     $Q_N$

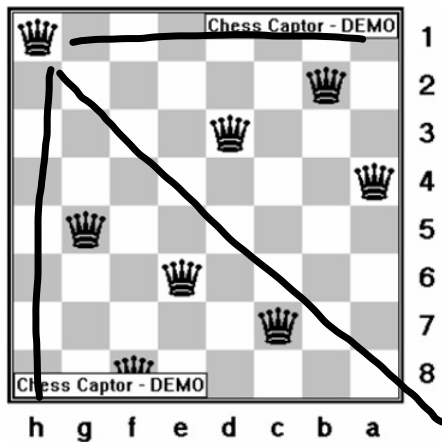
- Domains:

$\{1, 2, \dots, N\}$

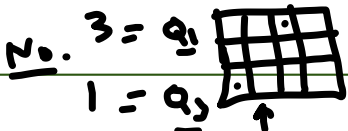
$N$  Queens, Dom size of  $N$   
 $N$  configurations

Answer a Question! <http://etc.ch/xyX3>

# Example: N-Queens



# Example: N-Queens



Constraints:

- Cannot put two Queens in same column:

\*||

$$Q_i \neq Q_j$$

$Q_1, Q_2, \dots, Q_n$

Dom( $Q_i$ )

- Diagonal constraints:

\*||

$$|Q_i - Q_j| \neq |i - j|$$

$\{1, \dots, n\}$

|   | $Q_1$ | $Q_2$ | $C(Q_1, Q_2)$ |
|---|-------|-------|---------------|
| 1 |       | 1     | *             |
| 2 | 1     |       | *             |

A CSP could be formulated as a **search problem**:

- **Initial State:** **Empty** assignment.
- **Successor Function:** **Assigned values** to an unassigned variable.
- **Goal Test:**
  - (1) The assignment is complete
  - (2) No constraints is violated.

# CSP Backtracking Search - Intuition

CSPs do NOT require finding a path (to a goal). They only need the **configuration** of the goal state.

CSPs are best solved by a specialized version search called **Backtracking Search**.

## Key Intuitions:

- Searching through the space of **partial assignments**, rather than paths.
- Decide on a suitable value for **one variable** at a time.  
Order in which we assign the variables does not matter.
- If a constraint is falsified during the process of partial assignment, **immediately reject** the current partial assignment.

## CSP Search Tree:

- **Root:** Empty Assignment.
- **Children** of a node: all possible value assignments for a particular unassigned variable.
- The tree **stops descending** if an assignment violates a constraint.
- **Goal Node:**
  - (1) The assignment is complete
  - (2) No constraints is violated.

Draw a CSP search tree for Sudoku

Answer a Question! <http://etc.ch/xyX3>

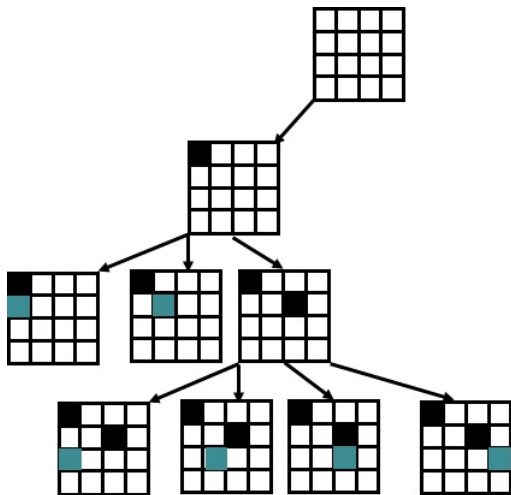


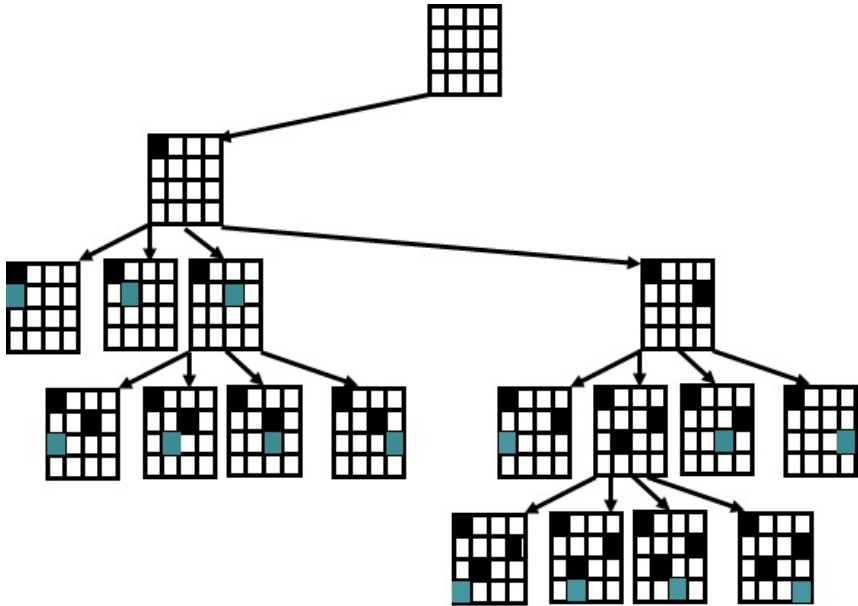


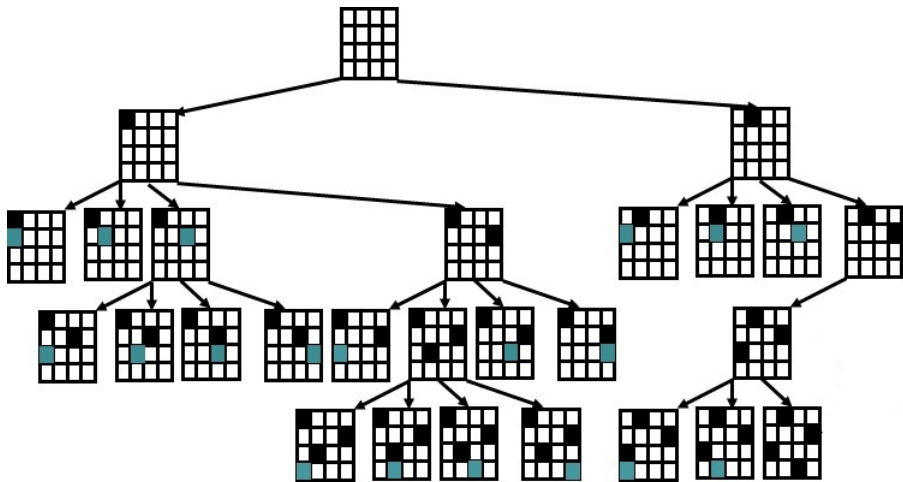


## Example: 4-Queens

Draw the CSP search tree for 4-Queens.







We will apply a **recursive** implementation:

- If **all** variables are set, print the solution and **terminate**.
- Otherwise:
  - Pick an unassigned variable  $V$  and **assign** it a value.
  - **Test** the constraints **corresponding** with  $V$  and **all other variables** of them are assigned.
  - If a constraint is **unsatisfied**, return (**backtrack**).
  - Otherwise, go one level deeper by invoking a **recursive call**.

# Backtracking Search: The Algorithm

```
def BT(Level):
1.  if all Variables assigned
2.      PRINT Value of each Variable
3.      EXIT or RETURN                                # EXIT for only one solution
                                                    # RETURN for more solutions
4.  V := PickUnassignedVariable()
5.  Assigned[V] := TRUE
6.  for d := each member of Domain(V)    # the domain values of V
7.      Value[V] := d
8.      ConstraintsOK := TRUE
9.      for each constraint C such that (i) V is a variable of C and
                                                    (ii) all other variables of C are assigned:
10.         if C is not satisfied by the set of current assignments:
11.             ConstraintsOK := FALSE
12.     if ConstraintsOk == TRUE:
13.         BT(Level+1)
14.     Assigned[V] := FALSE    # UNDO as we have tried all of V's values
15.     RETURN
```

Answer a Question! <http://etc.ch/xyX3>