

CSC236 Week 6, Tutorial 4 notes

Alex Francois-Nienaber

Derive a closed form for the following recurrence using the method of repeated substitution

$$f(n) = \begin{cases} 2 & \text{if } n = 0 \\ 3 & \text{if } n = 1 \\ 3f(n-1) - 2f(n-2) & \text{if } n > 1 \end{cases}$$

1 step 1: unwinding

I mentioned in tutorial that unwinding can be thought of as expanding the expression (by "digging down" the recursion), then simplifying (collapsing) it:

$$\begin{aligned} f(n) &= 3f(n-1) - 2f(n-2) && \text{simplified} \\ f(n) &= 3[3f(n-2) - 2f(n-3)] - 2f(n-2) && \text{expanding} \\ f(n) &= 9f(n-2) - 6f(n-3) - 2f(n-2) && \text{simplifying} \\ f(n) &= 7f(n-2) - 6f(n-3) && \text{simplified} \\ f(n) &= 7[3f(n-3) - 2f(n-4)] - 6f(n-3) && \text{expanding} \\ f(n) &= 21f(n-3) - 14f(n-4) - 6f(n-3) && \text{simplifying} \\ f(n) &= 15f(n-3) - 14f(n-4) && \text{simplified} \\ &\dots \end{aligned}$$

2 step 2: general form

Let's have a look at those simplified guys:

$$\begin{aligned} f(n) &= 3f(n-1) - 2f(n-2) && \text{simplified} \\ f(n) &= 7f(n-2) - 6f(n-3) && \text{simplified} \\ f(n) &= 15f(n-3) - 14f(n-4) && \text{simplified} \end{aligned}$$

What can we predict about the next one?

Well the factor of the first term seems to always be a power of 2 minus 1.

$$\begin{aligned} f(n) &= [2^2 - 1]f(n-1) - 2f(n-2) \\ f(n) &= [2^3 - 1]f(n-2) - 6f(n-3) \\ f(n) &= [2^4 - 1]f(n-3) - 14f(n-4) \end{aligned}$$

And you get the drift: the factor of the second term is a power of 2 minus 2. So, without "unwinding" I can predict that the next *simplified* form will be:

$$f(n) = [2^5 - 1]f(n - 4) - [2^5 - 2]f(n - 5)$$

$$f(n) = 31f(n - 4) - 30f(n - 5)$$

Sweet. This means there is (seemingly) no point to "unwind" any further, we can conjecture that:

$$f(n) = [2^{i+1} - 1]f(n - i) - [2^{i+1} - 2]f(n - i - 1) \qquad \text{general form after some iterations}$$

3 step 3: clever substitution(s)

Now, we want to get rid of the $f(n - \mathbf{bla})$ guys inside the expression of f . The only way to do this without magic is to get down to the base case(s). So let's do some "clever" substitutions:

$$f(n) = [2^{i+1} - 1]f(n - i) - [2^{i+1} - 2]f(n - i - 1) \qquad \text{I uncleverly chose a bad } i, \text{ we need a better one}$$

$$f(n) = [2^i - 1]f(n - i + 1) - [2^i - 2]f(n - i + 1 - 1) \qquad \text{substitute } i - 1 \text{ for } i$$

$$f(n) = [2^i - 1]f(n - i + 1) - [2^i - 2]f(n - i) \qquad \text{now we can get our base cases}$$

$$f(i) = [2^i - 1]f(i - i + 1) - [2^i - 2]f(i - i) \qquad \text{substitute } i \text{ for } n$$

$$f(i) = [2^i - 1]f(1) - [2^i - 2]f(0)$$

$$f(i) = [2^i - 1]3 - [2^i - 2]2$$

$$f(i) = (3)2^i - 3 - (2)2^i + 4$$

$$f(i) = 2^i + 1$$

In this case we are done because the last substitution is pretty obvious (substitute n for i):

$$f(n) = 2^n + 1$$

4 extra step: sanity check

Let's check if our closed form works:

$$f(2) = 2^2 + 1 = 5$$

$$f(3) = 2^3 + 1 = 9$$

$$f(4) = 2^4 + 1 = 17$$

Check that this corresponds to the values the original form gives you and you are done.