

MT - Monday, in tutorial,
3 questions → Ch 3 (recurrences, bounds,
Ch 2-rec_{coef} Master Theorem).

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recursion, induction, correctness

Danny Heap

heap@cs.toronto.edu

BA4270 (behind elevators)

<http://www.cdf.toronto.edu/~heap/236/F12/>

416-978-5899

Using Introduction to the Theory of Computation,
Chapter 2

Outline

binary search

recursive binary search

```
def recBinSearch(x, A, b, e) :  
    if b == e :  
        if x <= A[b] :  
            return b  
        else :  
            return e + 1  
    else :  
        m = (b + e) // 2 # midpoint  
        if x <= A[m] :  
            return recBinSearch(x, A, b, m)  
        else :  
            return recBinSearch(x, A, m+1, e)
```

conditions, pre- and post-

- ▶ x and elements of A are comparable
- ▶ e and b are valid indices, $b \leq e$
- ▶ $A[b..e]$ is sorted non-decreasing

$\text{RecBinSearch}(x, A, b, e)$ terminates and returns index p

- ▶ $b \leq p \leq e + 1$
- ▶ $b < p \Rightarrow A[p - 1] < x$
- ▶ $p \leq e \Rightarrow x \leq A[p]$

(except for boundaries, returns p so that $A[p - 1] < x \leq A[p]$)

precondition \Rightarrow termination and postcondition

Proof: induction on $n = e - b + 1$

Base case, $n = 1$: Terminates because there are no loops or further calls, returns $x \leq A[b = p] \Leftrightarrow p = b = e$ is returned. $x > A[b = p - 1] \Leftrightarrow p = b + 1$ returned, so postcondition satisfied. Notice that the choice forces if-and-only-if.

Induction step: Assume $n > 1$ and that the postcondition is satisfied for inputs of size $1 \leq k < n$ that satisfy the precondition. Call `RecBinSearch(A,x,b,e)` when $n = e - b + 1 > 1$. Since $b < e$ in this case, the test on line 1 fails, and line 7 executes. Exercise: $b \leq m < e$ in this case. There are two cases, according to whether $x \leq A[m]$ or $x > A[m]$.

Case 1: $x \leq A[m]$

- ▶ Show that IH applies to $\text{RBS}(x, A, b, m)$
- ▶ Translate the postcondition to $\text{RBS}(x, A, b, m)$

- ▶ Show that $\text{RBS}(x, A, b, e)$ satisfies postcondition

Case 2: $x > A[m]$

- ▶ Show that IH applies to $\text{RBS}(x,A,m+1,e)$
 - ▶ Translate postcondition to $\text{RBS}(x,A,m+1,e)$
-
- ▶ Show that $\text{RBS}(x,A,b,e)$

what could go wrong?

$$b \leq m < e$$

$$b=0, e=3$$

- step out of

$$\left[m = \left\lceil \frac{e+b}{2.0} \right\rceil \right] \longrightarrow m=2$$

$$\left[x < A[m] \right]$$

▶ ...

▶ Either prove correct, or find a counter-example

recursive and iterative

mergesort

$b \rightarrow e$ not necessarily sorted.

preconditions
elts - comparable.
 e, b valid indices of A .
 $0 \leq b \leq e < \text{len}(A)$

MergeSort(A, b, e):

1. if $b == e$: return

2. $m = (b + e) / 2$ # integer division

3. MergeSort(A, b, m)

4. MergeSort($A, m+1, e$)

merge sorted $A[b..m]$ and $A[m+1..e]$ back into $A[b..e]$

5. for $i = b, \dots, e$: $B[i] = A[i]$ - $b..e$ are indices

6. $c = b$ $e^+ - i, e \in \mathbb{N}$.

7. $d = m+1$

8. for $i = b, \dots, e$:

9. if $d > e$ or ($c \leq m$ and $B[c] < B[d]$): in $A[b..e]$

10. $A[i] = B[c]$

11. $c = c + 1$

else: # $d \leq e$ and ($c > m$ or $B[c] \geq B[d]$)

12. $A[i] = B[d]$ $B[... b ... m+1 ... e ...]$

13. $d = d + 1$ $A[... b ... i ... e ...]$
sorted?



$b \leq m < e$ $A[... b ... e ...]$

post conditions
any $i, b \leq i \leq e$
 $\exists j, c \rightarrow A[j] \leq A[i]$
- some elements
- other elements untouched.

conditions, pre- and post-

pre

- ▶ b and e are nature numbers, $0 \leq b \leq e < \text{len}(A)$.
- ▶ elements of A are comparable

terminates with

- ▶ $A'[b..e]$ contains the same elements as $A[b..e]$, but sorted in non-decreasing order (use notation A' for A after calling $\text{MergeSort}(A,b,e)$). All other elements of A' are unchanged.

$A'[b..m]$ contains from A .
 $A'[m+1..e]$ contains $A[b..m]$ sorted as $A'[m+1..e]$ except sorted. (+ no other elts changed.)

Proof of correctness of MergeSort(A,b,e)

by induction on $n = e - b + 1$ for all arrays of size n ,

(precondition+execution) \Rightarrow (termination+postcondition)

$$A'[b,e] == A[b,e]$$

Base case, $1 = \underline{e - b} + 1$: Assume MergeSort(A,b,e) is called with $len(A) = 1$ preconditions satisfied. Then $0 \leq e \leq b \leq 0$, so $e == b$, and the algorithm terminates with a (trivially) sorted A' , satisfying the ~~pre~~condition. *— single element. element is in order. post*

Induction step: Assume $n \in \mathbb{N}$, $n > 1$, and for all natural numbers k , $1 \leq k < n$, that MergeSort on all arrays of size k that satisfy the precondition and run will terminate and satisfy the postcondition. Assume MergeSort(A,b,e) is executed and $n = e - b + 1$.

$$\begin{aligned}
 e - b + 1 &> 1 \\
 \Rightarrow e - b &> 0 \\
 e &> b
 \end{aligned}$$

The test on line 1 fails, and m is set to $(b + e) // 2$, strictly less than e (exercise).

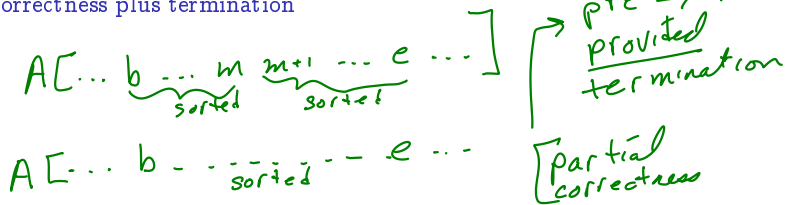
$$\begin{aligned}
 \text{is (arr)} \quad & 1 \leq m - b + 1 < n = e - b + 1 \quad ? \\
 & 1 \leq e - \underbrace{m}_m < e - b + 1
 \end{aligned}$$

Does the IH apply to MergeSort(A,b,m) and MergeSort(A,m+1,e)? Translate the IH into postconditions for MergeSort(A,b,m) and MergeSort(A,m+1,e).

Now we need **iterative correctness** for the merge...

iterative correctness

partial correctness plus termination



► Preconditions plus termination imply the postcondition.

Probably needs a loop invariant

devise a loop "invariant"

Separately -
prove termination

► termination — construct a decreasing sequence in \mathbb{N} .

quantity that describes/specifies

$n_i, n_{i+1}, \dots, \underline{n_k = 0}$ (or termination condition)